

<u>N THE UNITED STATES PATENT AND TRADEMARK OFFICE</u>

In re Application of:

Broyles et al.

Group Art Unit:

1632

Serial No.: 10/003,669

Examiner:

Janice Li Qian

Filed: November 1, 2001

Atty. Dkt. No.:

OMRF:027US/SLH

For: GENE REGULATION THERAPY

INVOLVING FERRITIN

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Correlationer for Patents, P.O. Box 1450, Alexandria, VA 2233-1450 on the date below:

May 14, 2004

Date

Steven L. Highlander

DECLARATION OF DR. XINLI LIN UNDER 37 C.F.R. §1.132

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

I, the undersigned, do declare that:

1. I currently hold the position of Executive Vice President and Chief Scientific Officeat ProteomTech, Inc., Emeryville, CA. I have over 20 years of research experience in the fields of molecular, protein and cellular biology. A copy of my *curriculum vitae* is attached.

25413904.1 -1-

- 2. I am familiar with the work of Dr. Robert Broyles relating to ferritin-H as a repressor of the human β-globin gene in erythroid cells when present in embryonic cells, it represses β-globin, but when absent in adult cells, β-globin is expressed. Thus, ferritin-H appears to be properly characterized as a hemoglobin switching factor.
- 3. Moreover, I am well aware of the implications this observation has in the treatment of β-globin-related diseases, such as sickle cell anemia. The relevant target cells for this condition (adult erythroid cells) have been shown to express ferritin receptors and to take up exogenously added ferritin protein, and other human cells (astrocytoma cells) which take up exogenous ferritin-H also transport it to the cell nucleus by an active transport mechanism. Thus, using ferritin-H or ferritin-H peptides as a therapeutic agent for treating sickle cell anemia is a logical extension of Dr. Broyles' work.
- 4. Regarding the feasibility of this approach, protein drugs have been used in clinical settings for several decades. For example, human insulin has been used to treat diabetics, and C-GSF has been used to treat infectious diseases and help to fight cancer. In addition, many other protein drugs have been approved by FDA in recent years, and our own company, which specializes in protein drug development, has many protein drug candidates in the pipeline. Thus, given what is known about the uptake and transport of ferritin-H, this protein is a promising candidate for treating indications such as sickle cell disease.

25413904.1 -2-

5. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by tine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

5/12/04

Xinli Lin, Ph.D.

CURRICULUM VITAE

Name:

Xinli Lin

Address:

ProteomTech, Inc.

5980 Horton St., Suite 405 Emeryville, CA 94608 Tel: 510-597-9134 FAX: 510-601-6751 ProteomTech, Inc.

Personal data:

Marital status: married

Children: two

Education:

1987 Ph.D. Virginia Polytechnic Institute and State University (Virginia Tech).

Major: Biochemistry

1982 B.S. Peking University, Beijing, China.

Major: Chemistry

Honors:

1981-1984 Fellowship: CUSBMBEP (China-United States Biochemistry and

Molecular Biology Examination Program)

1984-1985 Cunningham Year Fellowship: Virginia Polytechnic Institute and State

University

1985 Graduate Student Research Grand Award by GSA: VA Tech

1988 Travel Grant Award for 14th International Congress of Biochemistry by

IUB

1992 Merrick Award for Junior Scientist, OMRF

1999-2001 China Bridges International Fellowship Award

2000 Edward L. and Thelma Gaylord Prize for Scientific Achievement

Professional and Scientific Memberships:

American Society for Biochemistry and Molecular Biology. American Association for the Advancement of Science. American Society of Gene Therapy Ray Wu Society

Research and Professional Experience:

2001 to Present	Founder, Executive Vice President, Chief Scientific Officer (CSO), ProteomTech, Inc.
2002	Adjunct Member (Professor), OMRF
2000 to 2002	Associate Member, Head, Functional Proteomics Laboratory Director, Protein Expression Core, OMRF
2000 to 2002	Adjunct Associate Professor, Dept. of Pathology, University of Oklahoma Health Science Center (OUHSC)
1991 to 2000	Assistant Member, OMRF
1989 - 1991	Senior Research Scientist, OMRF
1986 - 1989	Associate Research Scientist, OMRF
1982 - 1986	Graduate Research with Dr. R. H. White, Virginia Tech
1981 - 1982	B.S. Thesis Research with Professor Sheng Jin, Dept. Chem., Peking University, Beijing, China

External Research Support:

Current Support:

1. Source: National Institute of Health #1 R43 CA103181-02

TITLE: Recombinant h-VEGI as an Anticancer Therapeutic

PI: Dan Medynski, Ph.D. Co-PI: Xinli Lin, Ph.D.

Percent of Effort, Dr. Xinli Lin: 15%

Dates and Direct Cost of Entire Project: 07/01/04 - 06/30/06 \$750,000

2. Source: National Institutes of Health # 1 R43 CA105919-01

TITLE: Recombinant HbxAg Production for Anti-Cancer Therapeutic

PI: Xinli Lin, Ph.D.

Percent of Effort, Dr. Xinli Lin: 15%

Dates and Direct Cost of Entire Project: 05/14/04 - 11/13/04 \$100,000

3. Source: National Institutes of Health # R43 HL075883-01

TITLE: Bioengineering Prourokinase for Improved Fibrinolysis

PI: Dan Medynski, Ph.D. Co-PI: Xinli Lin, Ph.D.

Percent of Effort, Dr. Xinli Lin: 10%

Prior Support:

Source: National Institute of Health #1 R43 CA103181-01 **TITLE:** Recombinant h-VEGI as an Anticancer Therapeutic

PI: Dan Medynski, Ph.D. Co-PI: Xinli Lin, Ph.D.

Percent of Effort, Dr. Xinli Lin: 15%

Dates and Direct Cost of Entire Project: 08/01/03 - 01/31/04

\$100,000

Source: NIGMS 1 P50 GM62407-01

TITLE: Southeast Collaboratory for Structural Genomics

PI: Bi-Cheng Wang, Ph.D., University of Georgia

Percent of Effort, Dr. Xinli Lin: 15%

Dates and Direct Cost of Entire Project for Dr. Lin: 10/01/00 - 09/30/02 \$300,000

Source: National Institutes of Health

TITLE: Target-avoidance for HIV protease inhibitors

PI: Xinli Lin, Ph.D. Percent of Effort: 15%

Dates and Direct Cost of Entire Project: 06/01/99 - 05/31/02 \$347,703

Source: Oklahoma Center for the Advancement of Science and Technology (OCAST)

TITLE: A Novel Chimeric Vector for In Vivo Gene Therapy

PI: Xinli Lin, Ph.D. Percent of Effort: 10%

Dates and Direct Cost of Entire Project: 10/01/00 - 09/30/02 \$90,000

Source: Oklahoma Center for the Advancement of Science and Technology (OARS)

Title: Therapy for Cancer Using TK and VHS genes

PI: Xinli Lin, Ph.D. Percent of Effort: 10%

Dates and Direct Costs of the Entire Project: 10/01/99 - 09/30/01 \$160,000

Source: NIH

PI: Xue-jun Zhang, PhD.

Title: Molecular Basis of Plasminogen-Streptokinase Interaction

Percent of Effort:: 10%

Dates and Direct Cost of Entire Project: 07/01/98 - 06-30-01 \$788,728

Source: NIH RO1 AI-CA42500-01

PI: Alice Mae Clark, Ph.D.

CO-PI: Xinli Lin, Ph.D.

Title: Candida Secreted Aspartic Proteases as Drug Targets

Percent of Effort: 15%

Dates and Direct Cost of Entire Project: 03-01-98 - 02-28-01 \$732,874

Source: Oklahoma Center for the Advancement of Science and Technology (OCAST),

H97-036 *PI:* Xinli Lin, Ph.D.

Title: In Vivo Gene Delivery in Human Gene Therapy

Percent of Effort: 20%

Dates and direct cost of entire project: 06-01-97 - 05-31-2000 \$105,000

Source: NIH RO1 53585-01 PI: Robert A. Floyd, Ph.D.

Title: Thiazine Dye Mediated Photokilling of HIV-1 Viruses

Percent of Effort: 10%

Dates and Direct Cost of Entire Project: 09-30-94 - 10-01-99 \$424.047

National Institutes of Health I R29AI34273 AARD

TITLE: Protease Inhibitor Drugs for Candida Infections

PI: Xinli Lin, Ph.D. Percent of Effort: 50%

Dates and Direct Cost of Entire Project: 04/01/93 - 03/31/98 \$349,882

Oklahoma Center for the Advancement of Science and Technology (OCAST)

TITLE: Industrial Application of Thermopsin AR 2-010

PI: Jordan J.N. Tang, Ph.D.

CO-PI: Xinli Lin, Ph.D.

Percent of Effort: 15%

Dates and Direct Cost of Entire Project: 04/01/92 - 03/31/95 \$169,995.

Oklahoma Center for the Advancement of Science and Technology (OCAST)

TITLE: Physiological Functions of Pregnancy-specific Antigen B HN2-004

PI: Xinli Lin, Ph.D.

Percent of Effort: 30%

Dates and Direct Cost of Entire Project: 06/01/92 - 05/31/95 \$ 90,000

American Heart Association (Oklahoma Affiliate)--OK90G25

TITLE: Decoding the Sorting Signals of the Regulated Secretory Pathway

PI: Xinli Lin, Ph.D.

Percent of Effort: 75%

Dates and Direct Cost of Entire Project: 07/01/90 - 06/30/93 \$135,000

Invited Lectures:

- Lin, X. Protein engineering on the N- and C-terminal lobes of pepsinogen and pepsin. Sept. 20, 1993. In: *The 5th International Conference on Aspartic Proteinases*", Gifu, Japan, Sept. 19-24, 1993. Organizing Committee Chairman: Dr. Kenji Takahashi, Tokyo University.
- Lin, X. A series of four lectures for a European Common Market sponsored Advanced Course on "Structure and Function of Aspartic Proteases", which included student training in research techniques of molecular biology and protein engineering. May 23 to June 6, 1994, University of Coimbra, Portugal.
- Lin, X. Invited to Conference on *Candida* protease and opportunistic infection, University of Mississippi, University, MS, February, 1997.
- Lin, X. Seminar -- "A new *in vivo* gene delivery system for human gene therapy". Sol Sherry Thrombosis Research Center, Temple University School of Medicine, Philadelphia, PA, September 2, 1997.
- Lin, X. Seminar series in Beijing, China. Topic: "A new *in vivo* gene delivery system for human gene therapy".
 - Sept. 10, 1997, Beijing Medical University.
 - Sept. 16, 1997, Beijing Red Cross Chao Yang University Hospital.
 - Sept. 19, 1997, Beijing People's University Hosppital.
- Lin, X. The 6th Sumposium on Life Sciences and Biotechnology. Beijing, China. "Construction of new retroviral producer cells from adenoviral and retroviral vectors", August 7-10, 1998.
- Lin, X. August 14, 1998, Shenyeng Central Hospital, Chinese Medical University, Shenyang, China, "Human gene therapy: the past, the present, and the future".
- Lin, X. August 20, 1998, Shenyang Central Hospital, Chinese Medical University, Shenyang, China, "Gene expression and functional studies of streptokinase and plasminogen"
- Lin, X. "Studies on human memapsins". Sept. 11, 1999. In: *The 8th International Conference on Aspartic Proteinases*", Funchal, Madeira, Portugal, Sept. 7-12, 1999. Organizing Committee Chairman: Dr. Carlos Faro, University of Coimbra, Portugal.
- Lin, X. November 12, 1999. "Identification of memapsin 2 as the beta-secretase that processes the amyloid precursor protein: implications in the treatment of Alzheimer's Disease". College of Life Science, Peking University, Peking, China.
- Lin, X. July 23, 2000. "Structural Genomics and Functional Proteomics". The 2nd RWS Conference. Falmouth, MA.
- Lin, X. Feb. 13, 2003. "Protein Refolding: from 'Art' to Science". ABRF (The

Association of Biomolecular Resource Facilities) 2003 Meeting, Denver, CO.

Lin, X. Oct. 5, 2003. "Automated High-Throughput Refolding Using a PTR Machine". CBA 8th Annual Conference, Rockville, MD.

Patents:

- 1. Co-Inventor of Thermopsin Patent No. 5,215,907, Issued June 1, 1993. *Title*: Thermostable Acid Protease from *Sulfolobus acidocaldarius*.
- Co-Inventor of Vectors for Human in vivo Gene Therapy U.S. Patent No. 6,303,380, Issued Oct. 16, 2001.
 Title: Construction of Retroviral Producer Cells from Adenoviral and Retroviral Vectors.
- Co-Inventor of Napsin, A new human aspartic protease. U.S. Patent No. 6,225,103.
 Issued May 1, 2001.
 Title: "Cloning and Characterization of Napsin, an Aspartic Protease"
- 4. Co-Inventor of recombinant memapsin 2. U.S. patent No. 6,545,127 *Title:* "Catalytically Active Recombinant Memapsin and Methods of Use Thereof".
- 5. Inventor of ProteomTech's core technology. U.S. patent No. 6,583,268 *Title:* "Universal procedure for refolding recombinant proteins".
- 6. Co-Inventor of streptokinase/plasmin structure

 *Title: Thrombolytic Agents Derived from Streptokinase. Patent Pending.
- 7. Co-Inventor of plasminogen-based plasminogen activator *Title:* Human Plasminogen Activator. Patent Pending
- 8. Inventor of pro-urokinase refolding. *Title:* Methods for production of recombinant urokinase
- 9. Inventor of VEGI-192a refolding, patent in preparation

Publications:

1. **Lin, X.**, and White, R.H. (1986) "Occurrence of coenzyme F420 and its -mono-glutamyl derivative in nonmethanogenic archaebacteria". *J. Bacteriol.* **168:**444-448.

- 2. Lin, X., and White, R.H. (1987) "Structure of sulfohalopterin-2 from *Halobacterium maris-mortui*". *Biochemistry*. 26:6211-6217.
- 3. Lin, X. (1987) "Isolation and characterization of new pterins from nonmethanogenic archaebacteria". Ph.D. Thesis, Virginia Polytechnic Institute and State University.
- 4. Lin, X., and White, R.H. (1988) "Structure of sulfapterin (erythroneopterin-3'-D-2-deoxy-2-aminoglucopyranoside) isolated from the thermophilic archaebacteria *Sulfolobus sulfataricus*". *J. Bacteriol.* 170:1396-1398.
- 5. Lin, X., and White, R.H. (1988) "Distribution of charged pterins in nonmethanogenic archaebacteria". Arch. Microbiol. 150:541-546.
- 6. Lin, X., Wong, R.N.S., and Tang, J. (1989) "Synthesis, purification, and active site mutagenesis of recombinant porcine pepsinogen". J. Biol. Chem. 264:4482-4489.
- 7. Lin, X., and Tang, J. (1990) "Purification, characterization, and gene cloning of thermopsin, a thermostable acid protease from Sulfolobus acidocaldarius". J. Biol. Chem. 265:1490-1495.
- 8. Fusek, M., Lin, X., and Tang, J. (1990) "Enzymic properties of thermopsin". J. Biol. Chem. 265:1496-1501.
- 9. Lin, X., Fusek, M., Chen, Z., Koelsch, G., Han, H.-P., Hartsuck, J.A., and Tang, J. (1991) "Studies on pepsin mutagenesis and recombinant rhizopuspepsinogen". In: "Aspartic Proteases" (ed., Ben M. Dunn) Plenum Press, New York, NY, pp. 1-8.
- 10. Lin, X., Fusek, M., and Tang, J. (1991) "Thermopsin, a thermostable protease from Sulfolobus acidocaldarius". In: "Aspartic Proteases" (ed., Ben M. Dunn) Plenum Press, New York, NY, pp. 255-257.
- 11. Lowther, W.T., Chen, Z., Lin, X.L., Tang, J., and Dunn, B.M. (1991) "Substrate specificity study of recombinant *Rhizopus chinensis* aspartic proteinase". *Adv. Exp. Med. Biol.* **306**:275-279.
- 12. Chen, Z., Koelsch, G., Han, H.-P., Wang, X.-J., Lin, X., Hartsuck, J.A., and Tang, J. (1991) "Recombinant rhizopuspepsinogen". J. Biol. Chem. 266:11718-11725.
- 13. Lin, Y.-Z., Fusek, M., Lin, X.L., Hartsuck, J.A., Kezdy, F.J., and Tang, J. (1992) "pH Dependence of kinetic parameters of pepsin, rhizopuspepsin, and their active-site hydrogen bond mutants". *J. Biol. Chem.* 267:18413-18418.
- 14. Lin, X.L., Lin, Y.-Z., Koelsch, G., Gustchina, A., Wlodawer, A., and Tang, J. (1992) "Enzymic activities of two-chain pepsinogen, two-chain pepsin, and the amino-terminal lobe of pepsinogen". J. Biol. Chem. 267:17257-17263.
- 15. Lin, X.L., Liu, M.T., and Tang, J. (1992) "Heterologous expression of thermopsin, a heat

- stable acid proteinase". Enzyme Microb. Technol. 14:696-701.
- 16. Tang, J., Lin, Y., Co, E., Hartsuck, J.A., and Lin, X.L. (1992) "Understanding HIV protease: Can it be translated into effective therapy against AIDS?" Scand. J. Clin. Lab. Invest. 52(210):127-135.
- 17. **Lin, X.L.**, Dashti, A., Schinazi, R.F., and Tang, J. (1993) "Intracellular diversion of glycoprotein gp160 of human immunodeficiency virus to lysosomes as a strategy of AIDS gene therapy". *FASEB J.* 7:1070-1080.
- 18. Lin, X., Loy, J.A., Sussman, F., and Tang, J. (1993) "Conformational instability of the N-and C-terminal lobes of porcine pepsin in neutral and alkaline solutions". *Prot. Sci.* 2:1383-1390.
- 19. Lin, X., Tang, J., Koelsch, G., Monod, M., and Foundling, S. (1993) "Recombinant canditropsin, an extracellular aspartic protease from yeast *Candida tropicalis*". *J. Biol. Chem.* **268**:20143-20147.
- 20. Lin, X., Lin, Y., and Tang. (1994) "Relationships of human immunodeficiency virus protease with eukaryotic aspartic protease". *Methods Enzymol.* 241:195-224.
- 21. Tang, J., and Lin, X. (1994) "A new anti-HIV gene therapy strategy -- diversion of gp160 to lysosomes". *Int. Antiviral News* 2:19-20.
- 22. Tang, J., and Lin, X. (1994) "Engineering aspartic proteases to probe structure and function relationships". *Current Opinions in Biotechnology* 5:422-427.
- 23. Lin, Y.-Z., Lin, X., Hong, L., Foundling, S., Heinrikson, R.L., Thaisrivongs, S., Leelamanit, W., Raterman, D., Shah, M., Dunn, B.M., and Tang, J. (1995) "Effect of point mutations on the kinetics and the inhibition of human immunodeficiency virus type 1 protease: relationship to drug resistance". *Biochemistry* 34:1143-1152.
- 24. Lin, X., Koelsch, G., Loy, J., and Tang, J. (1995) "Rearranging the domains of pepsinogen". Prot. Sci. 4:159-166.
- 25. Rowell, J.F., Ruff, A.L., Guarnieri, F.G., Staveley-O'Carroll, K., Lin, X., Tang, J., August, J.T., and Siliciano, R.F. (1995) "Lysosome-associated membrane protein-1-mediated targeting of the HIV-1 envelope protein to an endosomal/lysosomal compartment enhances its presentation to MHC class II-restricted T cells". *J. Immunol.* 155:1818-1828.
- 26. Lin, X., and Tang, J. (1995) "Rearranging pepsinogen and pepsin by protein engineering". <u>In</u>: Aspartic Proteinases: Structure, Function, Biology, and Biomedical Implications, (Kenji Takahashi, ed.), Plenum Press, New York, pp 33-40.
- 27. Lin, X., and Tang, J. (1995) Thermopsin. Methods Enzymol. 248:156-168.
- 28. Ermolieff, J., Lin, X., and Tang, J. (1997) Kinetic properties of saquinavir-resistant mutants of human immunodeficiency virus type 1 protease and their implications in drug resistance in vivo. Biochemistry 36:12364-12370.

- 29. Reed, D.J., Lin, X., Thomas, T.D., Birks, C.W., Tang, J., and Rother, R.P. (1997) "Alteration of glycosylation renders HIV sensitive to inactivation by normal human serum". *J. Immunol.* **159:**4356-4361.
- 30. Tang, J., and Lin, X. (1998) "Thermopsin". <u>In</u>: *Handbook of Proteolytic Enzymes*, A. (Barrett *et al.*, eds.), Academic Press, London, pp 980-982..
- 31. Ermolieff, J., Lin, X., and Tang, J. (1998) "The effect of substrates on the kinetics and the *in vivo* threshhold activity of mutant HIV-proteases". Adv. Exptl. Med. & Biol.436:47-51
- 32. Koelsch, G., Loy, J., Lin, X., Tang, J. (1998) "Activation mechanism of pepsinogen as compared to the processing of HIV protease gag-pol precursor protein". *Adv. Exp. Med. Biol.* 436:245-252.
- 33. Koelsch, G., Tang, J., Monod, M., Foundling, S.I., Lin, X (1998) "Primary substrate specificities of secreted aspartic proteases of Candida albicans". *Adv. Exp. Med. Biol.* 436:335-338.
- 34. Faro, C., Ramalho-Santos, M, Verissimo, P., Pissarra, J., Frazao, C., Costa, J., Lin X.L., Tang, J., Pires, E. (1998) "Structural and functional aspecs of cardosins". *Adv. Exp. Med. Biol.* 436:423-433.
- 35. Wang, X., Lin, X., Lowy, J.A., Tang, J., Zhang, X.C. (1998) "Crystal structure of the catalytic domain of human plasmin complexed with streptokinase", *Science*. **281**:1662-1665.
- 36. Lin, X. (1998) "Construction of new retroviral producer cells from adenoviral and retroviral vectors". Gene Therapy.5:1251-1258.
- 37. Faro C, Ramalho-Santos M, Vieira M, Mendes A, Simoes I, Andrade R, Verissimo P, Lin X, Tang J, Pires E (1999) "Cloning and Characterization of cDNA Encoding Cardosin A, an RGD-containing Plant Aspartic Proteinase." *J Biol Chem* 274(40):28724-28729
- 38. Wang, X., Jiang, L., Lei, Z., Lin, X. (1999) "The Experiment of HSV-tk Gene Therapy for Gastric Carcinoma in vitro." (Chinese) Chinese Journal of Biochemistry and Molecular Biology. 15(6):983-986
- 39. Wang, X., Terzyan, S., Tang, J., Loy, J., Lin, X., and Zhang, X. (2000) "Human plasminogen catalytic domain undergoes a novel conformational change upon activation" *J. Mol Biol.* 295(4):903-914.
- 40. Lin, X., Koelsch, G., Wu, S., Downs, D., Dashti, A., and Tang, J. (2000) "Human aspartic protease memapsin 2 cleaves the β-secretase site of β-amyloid precursor protein. *Proc Natl Aca Sci.* 97(4):1456-1460
- 41 Ghosh, A. K., Shin, D., Downs, D., Koelsch, G., Lin, X., Ermolieff, J., Tang, J. (2000) "Design of potent inhibitors form human brain memapsin 2 (β-secretase)" J. Amer. Chem. Soc., 122:3522-3523.

- 42 Koelsch, G., Tang, J., Loy, J.A., Monod, M., Jackson, K., Foundling, S.I., and Lin, X. (2000) "Enzyme characterization of secreted aspartic proteases of Candida albicans". *Biochimica et Biophysica Acta*, **1480**:117-131.
- 43. Hong L., Koelsch G., Lin X., Wu S., Terzyan S., Ghosh A., Zhang X., Tang J. (2000) "Structure of Memapsin 2 (β-secretase) complexed with inhibitor: a template to design drugs for Alzheimer's Disease" *Science*, **290:**150-153.
- 44. Wang, X., Wu, S., Wei, X., Lin, X. (2000) "The cloning, expression, and refolding of TNF-related apoptosis inducing ligand" (Chinese). *Journal of Beijing Medical University*, 32(5):387-390.
- 45. Wang, XJ, Wang, X., Wei, X., Lei, Z., Lin, X. (2000) "Construction of recombinant adenovirus (Ad-HSVtk) for tumor gene therapy" (Chinese). *China Science Abstract*, 6(10):1274-1277.
- 46. Wang, XJ, Wang, X., Zhang, L., Wei, X., Lin, X. (2000) "Adenovirus-mediated gene therapy of human gastric carcinoma by Herpes Simplex Virus-thymidine kinase in vitro" (Chinese). China Science Abstract, 6(10):1278-1281.
- 47. Wei, X., Wang, X., Zhang, L., Chen, K., Liang, Y., Lin, X. (2000) "The establishment and effect of Tet-regulated expression system of TRAIL on gastric carcinoma cell line" (Chinese). China Science Abstract, 6(10):1282-1284.
- 48. Wei, X., Lin, X., Wang, X. (2001) "TNF-related apoptosis inducing ligand and its research progress on cancer treatment" (Chinese). *Physiology Science Progress*, 32(1):18-22.
- 49. Zhang, J., Wang, X., Zhang, L., Xu, J., Wang, XJ., Lin, X. (2001) "The anti-tumor effect of GM-CSF-tk fusion gene in human neuroblastoma cell line SH-SY5Y" (Chinese). *Journal of Peking University (Health Sciences)*, 33(1): 62-65.
- 50. Zhang, J., Wang, X., Zhang, L., Xu, J., Wang, XJ., Lin, X. (2001) "The anti-tumor effect of GM-CSF-tk fusion gene in human neuroblastoma cell line SH-SY5Y" (Chinese). *Journal of Peking University (Health Sciences)*, 33(1): 62-65.
- 51. Loy, A., Lin, X., Schenone, M., Castellino, F.J., Zhang, X., and Tang, J. (2001) "Domain interactions between streptokinase and human plasminogen", *Biochemistry*. 4;40(48):14686-95.
- 52. Yong-Tae Kim, Deborah Downs, Shili Wu, Azar Dashti, Yujun Pan, Peng Zhai, Xinjuan Wang, Xuejun C. Zhang and **Xinli Lin**. (2002) "Enzymic Properties of Recombinant BACE2". *Eur. J. Biochem.* **269:**1-11.
- 53. Pan, Yujun, Peng, Z., Dashti, A., Wu, S., Lin, X., Wu, M. (2002) "A Combined Delivery by Co-transduction of Adenoviral and Retroviral Vectors for Cancer Gene Therapy". Cancer

Abstracts and Presentations:

- 1. Tang, J., Wong, R.N.S., Lin, X.L, Delaney, R., and Wang, X.-J. (1988) Molecular cloning and expression of aspartic protease zymogens. 18th Linderstrom-Lang Conference, Elsinore, Denmark.
- 2. Lin, X.L., Wong, R., and Tang, J. (1988) cDNA Cloning, expression and site-directed mutagenesis of porcine pepsinogen in *Escherichia coli*. 14th International Congress of Biochemistry, Prague, Czechoslovakia.
- 3. Lin, X.L., Wong, R.N.S., and Tang, J. (1988) Synthesis, refolding, purification, and mutagenesis studies of recombinant porcine pepsinogen. J. Cell Biol. <u>107</u>:1051.
- 4. Lin, X.L., Dashti, N., and Tang, J. (1989) Internal pH of the secretory granules in mouse pituitary AtT20 cells is above 5.0. The American Society for Cell Biology.
- 5. Lin, X.L., Fusek, M., and Tang, J. (1989) Purification and cloning of a new heat stable acid protease, thermopsin, from *Sulfolobus acidocaldarius*. Mid-America Molecular & Cellular Biology Colloquium, Shangri-La, Afton, OK.
- 6. Lin, X.L., Dashti, N., and Tang, J. (1990) Internal pH of the secretory granules in mouse pituitary AtT20 cells is above 5.0. American Society for Biochemistry and Molecular Biology.
- 7. Lin, X.L., Fusek, M., and Tang, J. (1990) Thermopsin, a thermostable acid protease from *Sulfolobus acidocaldarius*. Aspartic Proteinase Conference, Sonoma County, California.
- 8. Lin, X., and Tang, J. (1991) Expression and refolding of recombinant thermopsin. FASEB Meeting, Atlanta, Georgia, April, 1991.
- 9. Lin, X.L., Dashti, A., Schinazi, R.F., and Tang, J. (1991) A new strategy for gene therapy against AIDS: Intracellular diversion of gp160 of HIV to lysosomes for degradation. Technical Resources, Inc.
- 10. Lin, X.L., Dashti, A., Schinazi, R.F., and Tang, J. (1992) Intracellular diversion of envelope glycoprotein gp160 of human immunodeficiency virus to lysosomes for degradation. ASBMB.
- 11. Lin, X.L., Lin, Y.Z., Koelsch, G., Gustchina, A., Wlodawer, A., and Tang, J. (1992) Twochain pepsinogen heterodimer and homodimer: Models for comparisons to the aspartic protease of human immunodeficiency virus. ASBMB.
- 12. Bian, J.H., Tang, J., and Lin, X.L. (1993) Heterologous expression and purification of HIV-1 gag protein p17. ASBMB/DBC-ACS.
- 13. Lin, X.L., and Tang, J. (1993) Alkaline inactivation of pepsin is due to denaturation of its N-Terminal lobe. ASBMB/DBC-ACS.

- 14. Lin, X. (1994) Structure and function of aspartic proteases. Lecturer and laboratory training, University of Coimbra, Portugal, May 23-June 6, 1994.
- 15. Lin, X., and Tang, J. (1994) A new retrovirus-based *in vivo* gene delivery system. Technological Advances for Gene Therapy, Washington, D.C., Nov. 29-30, 1994.
- 16. Koelsch, G., Foundling, S., Tang, J., Loy, J., Monod, M., and Lin, X. Recombinant secretory aspartic proteases from *Candida albicans*. ASBMB/DBC-ACS Joint Meeting, San Francisco, CA, May 21-25, 1995.
- 17. Bian, J., Lin, X, and Tang, J. (1995) Nuclear translocation of HIV-1 matrix protein P17: the use of *Aeuquorea victoria* green fluorescence protein in protein tagging and tracing. ASBMNB/DBC/ACS, 1995.
- 18. Hong, L., Hartsuck, J.A., Lin, X., Treharne, A., Foundling, S., and Tang, J. (1995) *In vitro* studies on the molecular basis of HIV protease mutants that resist inhibitors. Hovel HIV Therapies: From Discovery to Clinical Proof-of-Concept, Poster #32.
- 19. Koelsch, G., Tang, J., Monod, M., Foundling, S., and Lin, X. (1996) Primary substrate specificities of secreted aspartic proteases of *Candida albicans*. VIIth International Aspartic Proteinase Conference, Banff, Alberta, Canada, Oct. 22-27, 1996.
- 20. Lin. X., and Tang, J. (1998) *In vivo* gene delivering producer cells constructed from adenoviral and retroviral vectors. Keystone Molecular and Cellular Biology Symposia (Molecular and Cellular Biology of Gene Therapy Conference), Keystone, CO, Jan. 19-25, 1998.
- 21. Lin, X. "Studies on human memapsins". Sept. 11, 1999. In: *The 8th International Conference on Aspartic Proteinases*", Funchal, Madeira, Portugal, Sept. 7-12, 1999. Organizing Committee Chairman: Dr. Carlos Faro, University of Coimbra, Portugal.
- 22. Lin, X. November 12, 1999. "Identification of memapsin 2 as the -secretase that processes the amyloid precursor protein: implications in the treatment of Alzheimer's Disease". College of Life Science, Peking University, Peking, China.

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Broyles et al.

Group Art Unit:

1632

Serial No.: 10/003,669

Examiner:

Janice Li Qian

Filed: November 1, 2001

Atty. Dkt. No.:

OMRF:027US/SLH

For: GENE REGULATION THERAPY

INVOLVING FERRITIN

CERTIFICATE OF MAILING 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Fiss Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, V& 22813 1#50 on the date below:

May 14, 2004

Date

Steven L. Highlander

DECLARATION OF DR. JOHN MCDONALD UNDER 37 C.F.R. §1.132

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

I, the undersigned, do declare that:

1. I am a citizen of the United States. I currently hold the position of Associate Professor at Washington University School of Medicine. I have over 12 years of research experience in the fields of medicine and neurology. A copy of my curriculum vitae is attached.

25414454.1 -1-

- 2. I am familiar with the work of Dr. Robert Broyles relating to ferritin-H as a repressor of the human β-globin gene in erythroid cells when present in embryonic cells, it represses β-globin, but when absent in adult cells, β-globin is expressed. Thus, ferritin-H appears to be properly characterized as a hemoglobin switching factor.
- 3. Moreover, I am well aware of the implications this observation has in the treatment of β-globin-related diseases, such as sickle cell anemia. The relevant target cells for this condition (adult erythroid cells) have been shown to express ferritin receptors and to take up exogenously added ferritin protein, and other human cells (astrocytoma cells) which take up exogenous ferritin-H also transport it to the cell nucleus by an active transport mechanism. Thus, using ferritin-H or ferritin-H peptides as a therapeutic agent for treating sickle cell anemia is a logical extension of Dr. Broyles' work.
- 4. Regarding the feasibility of this approach, protein drugs have been used in clinical settings for several decades. For example, human insulin has been used to treat diabetics, and C-GSF has been used to treat infectious diseases and help to fight cancer. In addition, many other protein drugs have been approved by FDA in recent years. Thus, given what is known about the uptake and transport of ferritin-H, this protein is a promising candidate for treating indications such as sickle cell disease.

25414454.1 -2-

5. I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

05/13/04 Date

-3-

CURRICULUM VITAE

John Wood McDonald III, M.D., Ph.D.

Birth Date:

June 6, 1963

Social Security #:

348-66-0788

Business Address:

Department of Neurology, Campus Box 8518 Section of Spinal Cord Injury Neurorehabilitation

Washington University School of Medicine

4444 Forest Park Avenue St. Louis MO 63108 Tel (314) 454-7825 Fax (314) 454-5300

E-mail: mcdonald@neuro.wustl.edu

Home Address:

4465 W Pine #20 St. Louis MO 63108 Tel (314) 534-4292

Academic Positions Held:

2001-present Medical Director, Spinal Cord Injury Neurorehabilitative Unit Rehabilitation Institute of St. Louis St. Louis, MO

2003-present

Associate Professor of Neurology, Neurological Surgery and

Neurobiology

Washington University School of Medicine

St. Louis, MO

2000-2003

Assistant Professor of Neurological Surgery Washington University School of Medicine

St. Louis, MO

1998-present

Assistant Professor of Neurology,

Barnes-Jewish Hospital

Washington University School of Medicine

St. Louis, MO

1998-present

Section Head and Director, Spinal Cord Injury Neurorehabilitative

Unit Barnes-Jewish Hospital and

Washington University School of Medicine

St. Louis, MO

1996-1998 Instructor, Department of Neurology

Washington University School of Medicine

St. Louis, MO

Education:

1993-1996 Residency, Neurology

Barnes Hospital

Washington University School of Medicine

St. Louis, MO

1992-1993 Internship, Preliminary Medicine

St. Joseph Mercy Hospital

Ann Arbor, MI

1985-1992 M.D.

University of Michigan

1985-1992 Ph.D., Neurosciences

University of Michigan

1991-1992 Visiting Scientist

Darryle D. Schoepp, Ph.D.

Eli Lilly and Co. Indianapolis, IN

1989-1990 Fellow, Neurology

Johns Hopkins University School of Medicine

1985 B.S., Liberal Arts and Science, Neurosciences,

University of Illinois, Champaign-Urbana

Honors and Awards:

St. Louis Business Journal's 40 under 40 Business Leaders in St. Louis for outstanding contributions to their field and to the St. Louis Community, St. Louis Business Journal, 2002

Medical Director of the Year, Rehabilitation Institute of St. Louis, HealthSouth, 2002

SCI Research Inspiration Award, Sam Schmidt Foundation, Las Vegas, Nevada, 2001

Reeve Research for Freedom Award, Gateway to a Cure, St. Louis, MO, 2000

Nominated one of Top Ten Physician in St. Louis, St. Louis Magazine, 2000

L.W. Freeman, M.D. Award for significant contributions to regenerative spinal cord research, National SCI Association, 1999

Mentored Clinical Scientist Development Award, National Institute of Health, 1996

Murray Goldstein Award, Neurotrauma Society, 1996

S. Weir Mitchell Award, American Academy of Neurology, 1996

Christopher Reeve Paralysis Foundation Research Consortium on Spinal Cord Injury, Consortium Member, 1995-1999

Fellowship, Medical Scientist Training Program, University of Michigan Medical School, 1985-1991

Magna cum laude in Neuroscience, University of Illinois, 1985

Phi Beta Kappa, University of Illinois, 1985

Golden Key National Honorary, University of Illinois, 1985

Phi Kappa Phi, University of Illinois, 1984

Membership in Professional Societies:

American Paraplegia Society, 2000-

Association of Academic Physiatrists, 2000-

American Spine Injury Association (ASIA), 2000-

National Spinal Cord Injury Association, 1999-

Association of Academic Physiatrists, 1999-

International Neurotrauma Society, 1996-

American Association for the Advancement of Science, 1994-

American Neurological Association, 1993-

American Academy of Neurology, 1993-

American Medical Association, Member, 1986-

Society for Neuroscience, Member, 1986-

Research and Clinical Interests:

Spinal cord injury: development of interventions to reduce injury, promote remyelination and enhance regeneration and recovery of function.

Biology of embryonic stem cells and neural progenitor cells.

Mechanisms of oligodendrocyte death: glutamate excitotoxicity.

Mechanisms regulating myelination.

Ontogeny of excitatory amino acid and related neurotransmitter pathways in the brain and their relationship to neurological disease.

Doctoral Thesis:

Co-Mentors: Drs. Michael V. Johnston, M.D. and Anne B. Young, M.D., Ph.D.

Title: Pharmacology and characterization of N-methyl-D-aspartate neurotoxicity in the developing central nervous system.

Symposiums Organized:

Spinal Cord Injury: From bench to bedside. Neuroanatomy Department Saturday Morning Seminar Series, Washington University School of Medicine, 1999.

Neurobiology: Physiologic and pathologic roles of excitatory amino acids during CNS development. Society for Neuroscience, St. Louis, MO, 1990; Lectures: J.W. Olney, M.P. Mattson, J.W. McDonald, H.T. Cline, M. Bear.

Invited Guest Lectures:

April 29-May 1, 2004 Breakfast seminar "Repairing the injured spinal cord: from stem cell to activity-based mechanisms of recovery" presentation and Dinner seminar "Repairing the CNS: from stem cell to activity-based therapies" American Academy of Neurology Annual Meeting, San Francisco, CA

April 14, 2004 "Classes Without Quizzes presentation of Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery" at The Tower Club, Chicago, IL for Chicago Washington University Club, sponsored by Alumni Relations Washington University School of Medicine

April 13, 2004 "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery" presentation for the **Chicago Eliot Society** at the Standard Club, Chicago, IL for Annual Giving Washington University School of Medicine

March 29-April 1, 2004 "Repairing the damaged CNS: From stem cells to activity based therapies" Royal College of Paediatrics and Child Health, 8th Spring Meeting, University of York, York UK

March 25-26, 2004 "Repairing the damaged spinal cord: From stem cell to activity based mechanisms of recovery" presented at **The William Greenleaf Eliot Society of Kansas City** at Club 1000, Kansas City, MO for Alumni and Development Program Washington University School of Medicine

March 18-19, 2004 "Role of stem cells and repair of the damaged spinal cord presentation to the **BioTherapeutics Research Group**, **Robarts Research Institute**, London, Ontario, Canada and "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery" to **Parkwood Hospital SCI Program**, **Lawson Health Research Institute**, London, Ontario, Canada

March 4 and 5, 2004: "Repair of the damaged spinal cord: from stem cells to activity-based therapies", "Setting up a clinical research team for comprehensive, life-long treatment approaches to spinal cord injury. Northwest Neuroscience Symposium for Nurses and Other Allied Health Care Professionals, Eugene, Oregon

February 10, 2004 Stars Program presentation of "Repair of the damaged spinal cord: from stem cell to activity based therapies" at the University of Missouri St. Louis.

January 17, 2004 "Repairing the damaged CNS: From stem cells to activity based therapies" American Society for Peripheral Nerve, The Westin Mission Hills Resort, Palm Springs, CA

December 8, 2003 "Activity-based rehabilitation for neurorecovery" The Howard H. Steel Conference, Walt Disney World, Orlando, FL

November 20, 2003 "Repairing the damaged CNS: From stem cells to activity based therapies" University of Michigan Fall Short Course, Ann Arbor, MI

November 18, 2003 "Spinal cord regeneration and repair and integration with the AutoAmbulator" HealthSouth Medical Director's Conference, Birmingham, AL

November 13, 2003 "Stem Cells as Therapeutic Agents" RUNN Course, Woods Hole, MA

October 28, 2003 "Spinal cord injury and rehabilitation" BJH Education Committee, Rehabilitation Staff, Barnes-Jewish Hospital, St. Louis, MO

October 18-23, 2003 "Repair of the Damaged Cord; from Stem Cell to Activity-Based Recovery Programs" Congress of Neurological Surgeons Meeting, Denver, Colorado

October 10, 2003 "The Pathophysiology of Spinal Cord Injuries & Current Research in Activity Based Strategies for Improved Motor Function" **AAPM&R Meeting, Chicago, IL**

October 2, 2003 "Repairing the damaged cord; from stem cell to activity-based mechanisms of recovery" Oklahoma Medical Research Foundation's Work in Progress Seminar, Oklahoma

September 14-16, 2003 "The Role of Embryonic Stem Cells in the Repair of the Damaged CNS" Myelin Project Work Group, Acqui Terme, Italy

September 10-11, 2003 "Repairing the damaged cord; from stem cell to activity-based mechanisms of recovery" University of Toronto Program in Neuroscience, Toronto, Canada

September 2, 2003 "Remyelination: Rationale for Phase-1 Human Trials Neurotransplantation in Spinal Cord Injury, American Paraplegia Society, Las Vegas, Nevada

August 14, 2003 "Repairing the damaged cord; from stem cell to activity-based mechanisms of recovery" USCF Grand Rounds, San Francisco, CA

August 9, 2003 "Strategies for Spinal Cord Repair After Spinal Cord Injury" ASNR Workshop, Cleveland, OH

July 17, 2003 "Development of a Restorative Treatment & Research Spinal Cord Injury Center; Building a Bench to Bedside Transitional System" NSCIA 2003 Convention "Beyond All Barriers", Chicago, IL

July 2, 2003 "FES and neuroregeneration: Future convergence or divergence" IFESS Conference, Noosa, Queensland, Australia

June 12, 2003 "Recent Progress & Future Promise of Human Embryonic Stem Cells" NIH Stem Cell Symposium, Bethesda, Maryland

June 5, 2003 "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery" Eliot Society Lecture Series, Atlanta, GA

June 4, 2003 "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery" Eliot Society Lecture Series, Washington, DC

May 28, 2003 "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery". Eliot Society Lecture Series, Seattle, WA

May 27, 2003 "Repairing the damaged cord: from stem cell to activity-based mechanisms of recovery". Eliot Society Lecture Series, Denver, CO

May 9, 2003 "Repairing the Damaged Cord: From Stem Cell to Activity-based Mechanisms of Recovery" **Brookhaven National Laboratory Medical Department, Long Island, NY**

May 5, 2003 "Repairing the Damaged CNS: From Embryonic Stem Cell transplantation to Mobilizing Endogenous Stem Cells" **National Organization of Presbyterians, St. Louis, MO**

April 24,2003 "Repairing the Damaged CNS: From Stem Cells to Activity-dependent Processes" Life Sciences Speaker Series, Washington University, St. Louis, MO

March 31, 2003 "Very Late Recovery Following Spinal Cord Injury: Extension of Evaluation and Outcomes" 2003 AAN Meeting, Honolulu, Hawaii

March 8, 2003 "Repairing the Damaged Cord: From Stem Cell to Activity-based Mechanisms of Recovery" **St. Louis, MO**

February 26, 2003 "The Possibilities of Nervous System Repair" Naples Salon, Naples, Florida

February 7, 2003 "Repairing the Damaged Cord: From Stem Cell to Activity-based Mechanisms of Recovery", Millikin University, Decatur, IL

February 3, 2003 NIH/NINDS Spinal Cord Injury Therapy Workshop, Bethesda, Maryland

January 29, 2003 "Repairing the Damaged Cord: From Stem Cell to Activity-based Mechanisms of Recovery" Albany Medical College's Neuroscience Grand Rounds, Albany, New York

December 8, 2002 "Repair of the Damaged CNS" Jewish Hospital College of Nursing and Allied Health, St. Louis, MO

December 3, 2002 "Repairing the Damaged Spinal Cord: From Stem Cells to Activity-Based Treatments" 3rd Asia Pacific Symposium, Sheraton Perth, Western Australia

November 21, 2002 "Advances in Neurological Rehabilitation" Washington University School of Medicine Mini-Med School Presentation, St. Louis, MO

November 19, 2002 "Promising Strategies to Repair the Damaged Spinal Cord" STARS Program (Student and Teachers as Research Scientist) UMSL, St. Louis, MO

November 14, 2002 "Repairing the Damaged Spinal Cord: from stem cells activity-based treatments" 2002 SCI Educational Conference, Phoenix, Arizona

November 8, 2002 "Repairing Damaged CNS (Central Nervous System): role of stem cells and activity" The Myelin Project Work Group, Bal Harbor, Florida

November 2, 2002 "Neural Transplantation & Activity-Based Recovery" & "Clinical Trials of Intervention for SCI" Clinical Trials in Medical Rehabilitation Conference-(Kessler Institute), Parsippany, New Jersey

October 31, 2002 "Stem Cells as Therapeutic Agents" RUNN Course, Woods Hole, Massachusetts

October 26, 2002 "Advances in Neurological Rehabilitation; applicability to ALS patients" ALS Project Hope, St. Louis, MO

October 18, 2002 "Repairing the Damaged Spinal Cord: from stem cells to activity based recovery programs" American Academy of Neurological Surgery Annual Meeting, Scottsdale, Arizona

September 19, 2002 "Repair of the Damaged CNS: the spinal cord injury example" Internal Medicine Grand Rounds, Washington University School of Medicine, St. Louis, MO

September 12, 2002 "CNS Repair: What is Do-able" AACPDM, New Orleans, Louisiana

September 7, 2002 "Repairing the Damaged Spinal Cord" Hendrick Medical Center Fall Symposium, Aboline, Texas

August 7, 2002 "Spinal Cord Injury", Summer Stock Lecture Series, Washington University School of Medicine, St. Louis, MO

August 7, 2002 "Spinal Cord Injury Update", Barnes-Jewish Hospital Patient Care Leadership, St. Louis, MO

June 15, 2002 "Stem Cell Research", Missouri Pharmacy Association Convention, Lake of the Ozarks, MO

June 7, 2002 "Cell Transplantation for Spinal Cord Injury Repair", 17th Annual Lehman Symposium, Seattle, WA

June 6, 2002 "Repairing the Damaged Cord: From activity-based restoration to stem cell transplantation", Northwest Regional SCI Research Forum, Seattle, WA

June 4, 2002 "Advances in Neurological Rehabilitation and the Treatment of Spinal Cord Injury", Washington University School of Medicine's Mini-Med School for the Coalition for Plant and Life Sciences, St. Louis, MO

May 26-30,2002 "Therapeutic Applications of Stem Cells", International Healthcare Trip, Geneva, Switzerland

May 21, 2002 "Repairing the Damaged Spinal Cord", Missouri Head Injury Advisory Council Conference, Jefferson City, MO

May 16, 2002 "Repairing the Damaged Spinal Cord", Reunion Scientific Program: Medical Update '02, St. Louis, MO

April 29, 2002 "What are the limits for self-repair in the injured adult CNS?", Neurology & Neurosurgery Research Seminar, St. Louis, MO

April 19, 2002 "Stem Cells and Repair of the Injured CNS", MSP Research Symposium, Urbana, IL

April 14, 2002 "Future of Spinal Cord Injury: Therapy based on Neural Repair and Gait Retraining, 2002 AAN Meeting, Denver, CO

April 9, 2002 "Repairing the Damaged Spinal Cord; ES Cells and Remyelination" Niagara County Community College, San Born, NY

April 6, 2002 "Restoring function after spinal cord injury" John Hopkins Board of Trustee Retreat, Baltimore, MD

April 2, 2002 "Spinal Cord Injury In-Service" Spinal Cord Presentation to Healthlink, St. Louis, MO

March 18, 2002 "Education In-service" The Rehabilitation Institute of St. Louis, St. Louis, MO

March 15, 2002 "Repairing the Damaged Spinal Cord: Early Experience with Embryonic Stem Cell Transplantation" 13th Annual Spring Brain Conference, Sedona, AZ

March 11, 2002 "Repairing the Damaged Spinal Cord; ES Cell Transplantation", The New York Academy of Medicine, New York, NY

February 2, 2002 "Stem Cell Research", Saturday Scholar Program, Belleville, IL

January 14, 2002 "Repairing the Damaged Spinal Cord", Washington University School of Medicine OT Program, St. Louis, MO

January 28, 2002 "Stem Cells and Repair of the Damaged CNS: From Scientific Tools to Applied Therapies", John Hopkins Hospital "Special Seminar", Baltimore, MD

January 23, 2002 "New Concepts in CNS Injury Repair", Washington University School of Medicine Neurosurgery Grand Rounds, St. Louis, MO

January 14, 2002 "Repairing the Damaged Spinal Cord", Washington University School of Medicine OT Presentation to Faculty & Students, St. Louis, MO

December 17, 2001 "Embryonic Stem Cells..from Scientific Tools to Applied Therapies", Stanford University, Stanford, CA

December 15, 2001 "Stem Cells: From Beginnings to Clinical Trials", 9th International Symposium on Neural Regeneration (ISNR), Pacific Grove, CA

December 11, 2001 "Repairing the Damaged Spinal Cord: Embryonic Stem Cell Transplantation", Washington University School of Medicine Emergency Medicine Grand Rounds

December 7, 2001 "Neural Stem Cells in Development and Regeneration", NIAAA Stem Cell Meeting, Bethesda, MD

November 1, 2001 "Spinal Cord Injury Repair: Doable Therapeutics", Keynote Speaker, 2001 Shepherd Center's Virginia C. Crawford Annual Research Symposium, Shepherd Center, Atlanta, Georgia.

October 26, 2001 "Stem Cells as Therapeutic Agents", RUNN, Wood Hole, Massachusetts

October 18, 2001 "Advances in Neurological Rehabilitation", Washington University School of Medicine Mini-Med School Presentation, St. Louis, MO

October 17, 2001 "Stem Cell Research and How It Applies to Other Diseases", Grace Episcopal Church, St. Louis, MO

October 15, 2001 "Stem Cell Research, What's happening on the frontier of research", S. Carolina Workers" Compensation Educational Conference, Myrtle Beach, South Carolina.

October 9, 2001 "Regeneration Strategies for the Patient with Spinal Cord Injury" and "Functional Stimulation & Reactivation of the Locomotor Center" Shriners Hospital for Children, Philadelphia, PA

September 29, 2001 "Embryonic Stem Cells", 12th Annual Meeting of "The Myelin Project Work Group", Paris, France

September 27, 2001 "Repairing the Damaged Spinal Cord: Doable Therapeutics", Johns Hopkins Hospital "Grand Rounds"

August 1, 2001 "Spinal Cord Regeneration", Department of Veterans Affairs Spinal Cord Injury Service Grand Rounds, St. Louis, MO

July 13, 2001 "Embryonal Stem Cells Promote Functional Recovery in Spinal Injured Animals", 2nd International Transverse Myelitis Symposium, Baltimore, Maryland

July 6, 2001 "Spinal Cord Injury Research", HHMI Summer Seminar, St. Louis, MO

June 17, 2001 "Neural Repair and Functional Restoration Workshop-Bridging the Gap", IFESS 2001, Cleveland, Ohio

June 16, 2001 "ES Grafts in Spinal Cord Injury", 2001 Kentucky Spinal Cord & Head Injury Research Trust Symposium, Louisville, KY

May 20, 2001 "Repairing the Damaged Cord", ASIA, Long Beach, California.

May 6, 2001 "Repairing the Damaged Spinal Cord: What is Doable?, XXIII International Symposium, Montreal, Canada

May 7, 2001 "Mechanisms of Spinal Cord Recovery after Injury", AAN, Philadelphia, PA

April 27,2001 "2001 Michael J. Ellis Distinguished Lecture on Disability Science & Practice" University of Illinois, Champaign, IL

April 11, 2001 "Repairing the Damaged Spinal Cord: Doable Therapeutics and Development of a World Class Spinal Cord Injury Center", Clayton Rotary, St. Louis, MO

April 7, 2001 "Spinal Cord Regeneration", Central Society of PM&R Meeting, St. Louis, MO

April2, 2001 "Embryonic Stem Cells and Repair of the Damaged Spinal Cord" American Association of Anatomy, Orlando, Florida

March 30, 2001 "Embryonic Stem Cell Differentiation in Oligodendrocytes" 1st International Symposium on Clinical Use of Cellular Products, Regensburg, Germany

March 8, 2001 "Repair of the Injured CNS: Promoting Oligodendrocytes Remyelination, Spring Brain Conference, Sedona, Arizona

March 4, 2001 "Research in Spinal Cord Injury", Washington University School of Medicine PM&R Presentation, St. Louis, MO

February 9, 2001 "Spinal Cord Trauma", Trends in Trauma Conference, St. Louis, MO

February 5, 2001 "Spinal Cord Injury", Washington University School of Medicine 2nd Year Medical School Course, Diseases of the Nervous System", St. Louis, MO

January 27, 2001 "Repair of the Damaged Spinal Cord", Nasser Institute, Cairo, Egypt.

January 23, 2001 "Advances in Spinal Cord Injury Rehabilitation: Scientific Basis of Activity-Dependent Rehabilitation", Nasser Institute, Cairo, Egypt.

January 12, 2001 "Regeneration in the context of FES", Shriners Hospital, Philadelphia, PA.

November 28, 2000 "Stem Cells and CNS Repair: What is Doable?" Department of Immunology and Oncology, National Center of Biotechnology, **Madrid, Spain.**

November 3, 2000 "Stem Cell Transplantation", Session V: How can the brain and spinal cord be repaired. 18th Annual National Neurotrauma Society Symposium, New Orleans, Louisiana.

October 20, 2000 "CNS Repair: Stem cell therapies", Research Updated in Neurobiology and Neurosurgeons (RUNN) 2000, Woods Hole, Massachusetts.

September 23, 2000 "Activity-Dependent Rehabilitation", SJ Rose Symposium, Program for Physical Therapy, Washington University, St. Louis, Missouri.

September 12, 2000 "ES cells and Repair of the Damaged Nervous System", Ataxia-Telangiectasia Foundation, San Diego, California.

August 4, 2000 "Repairing the Damaged Spinal Cord", 14th Annual Combined Clinical Conference on Emergency Care, Lake of the Ozarks, MO.

July 28, 2000 "ES cells, Remyelination and Recovery of Function", Geron Corporation, San Francisco, California.

July 19, 2000 "Repairing the Damaged Spinal Cord", Orthopaedics Grand Rounds, Department of Orthopaedics, Washington University School of Medicine, St. Louis, Missouri.

July 13, 2000 "Animal Models of Spinal Cord Injury as Paradigms for Stem Cell Therapy", Food and Drug Administration, Center for Biologics Evaluation and Research, Biological Response Modifiers Advisory Committee, Gaithersburg, Maryland.

July 5, 2000 "AMPA- Receptor Mediated Excitotoxicity Contributes to the Selective Loss of Oligodendrocytes in Central Pontine Myelinolysis", Anesthesia Grand Rounds, Department of Orthopaedics, Washington University School of Medicine, St. Louis, Missouri.

June 28, 2000 "Spinal Cord Injury- What is Doable?", AACD, Spinal Cord Injury Center, Sao Paulo, Brazil.

June 25, 2000 "Developing a World Class Spinal Cord Injury Program", Assetta, Tatui, Brazil.

June 10, 2000 "Embryonic Stem (ES) Cells as a Tool and Strategy to Repair the Damaged Nervous System", Second Hershey Conference- Cerebral Blood Flow and Metabolism, Hotel Hershey, Hershey, Pennsylvania.

April 5, 2000 "Spinal Cord Injury- What is doable?; an ES cell transplantation approach", Neuroscience Lecture Series, Columbia University, Columbia, Missouri.

March 29, 2000 "Mechanisms of Spinal Cord Injury. Methods of Repair- What is Doable?", **Department of Neuroradiology, National Institute of Health, Bethesda, Maryland**.

March 5-8, 2000 "SCI Think Tank", Kent Waldrep National Paralysis Foundation (KWNPF), Dallas, Texas.

January 14, 2000 "Mechanisms of SCI & methods of repair- What is doable?" Neuroscience Lecture Series, Lexington, Kentucky.

December 10, 1999 "Mechanisms of SCI & methods of repair- Where do neuroregeneration and neural prostheses meet?" Neural Prosthesis Seminar, Cleveland FES Center, Case Western Reserve University and MetroHealth Medical Center, Cleveland Ohio.

December 7, 1999 "Embryonic stem cell transplantation: A strategy for repairing the injured spinal cord." Advances in Embryonic Stem Cell and Nuclear Transfer

Technologies, Asilomar Conference Grounds, Pacific Grove, California. Sponsored by Geron Corporation.

University and Hospital Committee Memberships

2001 -	PNT Committee (Pharmacy & Therapeutics), Rehabilitation Institute of St.
	Louis
2001-	Neurology Executive Committee, Department of Neurology
Present	
2001-	Medical Executive Committee, Rehabilitation Institute of St. Louis
Present	
1999- 2000	Development Committee, Rehabilitation Institute of St. Louis
1998	Occupational Therapy Doctoral Program Steering and Development
	Committee
1998-2000	Executive Rehabilitation Committee
1999-	Rehabilitation Policy Review Committee
Present	-

Teaching Responsibilities:

a. Clinical Fellows:

Cristina Sadowsky, M.D., Spinal Cord Injury Medicine Fellow, 1998-1999
Ramani Gadi, M.D., Spinal Cord Injury Medicine Fellow, 2000-2001
Tan Fung, M.D., Spinal Cord Injury Medicine Fellow, 2001-2002

b. Research Fellows:

Yun Qu, M.D., Research Post-doctoral Fellow, 1996-

Mike Howard, Ph.D., Research Post-doctoral Fellow, 1998-

Dan Kadunce, Ph.D., Research Post-doctoral Fellow, 1998-2000

Su Liu, M.D., Ph.D., Research Post-doctoral Fellow, 1998-

Todd Stewart, M.D., Neurosurgery Resident Research Fellow, 1999-2001

Shovan Chakrabortty, M.D., Ph.D., Senior Research Fellow, 1999-2000

James Lu, M.D., Neurosurgery Resident Research Fellow, 1999-

Oun Li, Ph.D., Research Post-doctoral Fellow, 2000-

Daniel Becker, M.D., Ph.D., Research Post-doctoral Fellow, 2001-

Husan Ao, M.D., Research Post-doctoral Fellow, 2001-

Ephron Rosenzweig, Research Post-doctoral Fellow, 2003-

David Lenihan, Research Post-doctoral Fellow, 2003

Li Lin, Research Post-doctoral Fellow, 2003

c. Courses and Lectures:

Medical School, presentation to 2nd year students, February 1999, 2000, 2001 Diseases of the Nervous System "Spinal Cord Injury"

Spring Saturday Seminar Series, Dept. of Anatomy and Neurobiology, April-June 1999

"Spinal Cord Injury: from bench to bedside"
Organized 11 speaker series on SCI, prevention and repair

Bedside and Didactic Teaching:

Neurology Residents

Physical Medicine and Rehabilitation Residents

Medical Students- "Neurologic Assessment", 2nd year medical students

Editorial Referee Responsibilities:

Brain Research
Brain Research Reviews
Experimental Neurology
Journal of Neuroscience
Journal of Neurotrauma
Glia
Lancet
Nature Biotechnology
Nature Medicine
Pediatric Research
Proceedings of the National Academy of Sciences

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Grant Review Responsibilities:

NIH Cell Transplant Special Review, Santa Fe New Mexico, February 21-22, 2001

New Jersey Spinal Cord Injury Foundation, Review Panel Member, 2001-present

Special Emphasis Panel Review, Washington, D.C. (NINDS), March 13, 2001

New York State Spinal Cord Injury Foundation, Review Panel Member, 2000-present

National Institutes of Health, NINDS, Special Reviewer, 2000-

Paralyzed Veterans of America Spinal Cord Research Foundation, Regular reviewer, 1997- present

International Spinal Cord Research Foundation, 1999- present

Research Grant and Funding Sources:

Active Research Support

Governmental

1R29 NS37927-03

7/1/98-6/30/03

Principle Investigator

CSR/NIH

\$83,995 Annual Direct

Mechanism of Oligodendrocyte Death in Spinal Cord Injury

P01 NS39577-01

12/01/99- 11/30/04

Principle Investigator P3

NIH-NINDS

\$141,088 Annual Direct

ES Cell Transplantation After Spinal Cord Injury: Project 3- Survival and Differentiation of ESNLCs After Transplantation

P01 DE07734-15

04/01/00- 03/31/05

Principle Investigator P4

NIH-NIDCR

\$132,682 Annual Direct

Mechanisms of Damage Induced Trigeminal Reorganization: Project 4- Neurotrophin Control of Thalamocortical Development

1 RO1 NS 40520-01 09/27/00-09/26/03

Principle Investigator

NIH-NINDS

\$250,000 Annual Direct

ES Cell Myelination in Injured Spinal Cord

b. Non-Governmental

Sam Schmidt Foundation 01/01/02-01/01/03

Principle Investigator

\$50,000 Annual Direct

Human Embryonic Stem Cells: Moving from Bench to Bedside

Past Research Support (Federal and non-Gov.):

5 K08 NS01931-04

12/01/96-11/30/2000

Principle Investigator

NIH-NINDS

\$85,000 Annual Direct

AMPA Receptor Mediated Spinal Cord Oligodendrocyte Death

R01 NS36265 9/15/97-9/14/2000

Co-Investigator

NINDS/NIH \$ 25,000 annual direct

Oligodendrocyte death in cerebral ischemia

The major goals of this project are to examine the role of excitotoxic oligodendrocyte death in cerebral ischemia.

SCI STATeam Program Grant

4/1/99-3/31/01

Principle Investigator

Barnes-Jewish Hospital Foundation \$120,000 Annual Direct STATeam Clinical Care Pathway Implementation and Benefits

KECK Grant

7/1/99-6/30/00

Co-Investigator

Keck Foundation

\$ 990,000 Annual Direct

Transplantation of Embryonic Stem Cells in the Injured Spinal Cord

Group Health Grant

10/1/99- 9/31/99

Principle Investigator

Group Health Foundation

\$ 30,000 Annual Direct

STATeam Clinical Care Pathway Implementation and Benefits

Clinical Trials Engaged:

Porcine Fetal Cell Transplantation

Principle Investigator

Phase I, Porcine Fetal Spinal Cord Cells Treated with Anti-MHC Class I Antibody for Spinal Cord Repair.

Diacrin, Inc.

Clinical Trials Completed:

Fampridine-SR in SCI: SCI-F201

Principle Investigator

Phase II, Double-Blind, Placebo-Controlled, Parallel Group Study to Evaluate Safety and Efficacy of Oral Fampridine-SR in Subjects with Chronic, Incomplete Spinal Cord Iniury.

Acorda Therapeutics, Inc.

Neurotrophin-3 in SCI
Phase III, Double-Blind, Placebo-Controlled, Cross-Over Study to Evaluate the Efficacy of Subcutaneous Neurotrophin-3 in Subjects with Chronic Spinal Cord Injury.

Regeneron, Inc.

Advisory Board Memberships:

2003- present Chairman, Spinal Cord Injury Research Program Advisory Board, University of Missouri at Columbia, Missouri

2001- present Scientific Advisory Board, New York State SCI Research Board, NY, NY.

2000- Present Research Advisory Board Member, Shriners Hospital, Philadelphia, PA

1999- Present Advisory Board Member, Gateway to a Cure, St. Louis, MO

National / Governmental Committees and Responsibilities:

Auxiliary Awards Committee, American Academy of Neurology, 2001- Present.

Special Governmental Consultant, Food and Drug Administration Advisory Committee Consultant, Biological Response Modifiers Advisory Committee Meeting #27, Human Stem Cells as Cellular Replacement Therapies for Neurological Disorders, Hilton Hotel, Gaithersburg, Maryland, July 13-14, 2000.

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Sadowsky C, Volshteyn O, Schultz L, **McDonald JW**. Rehabilitation in Practice: Spinal cord injury. <u>Disability and Rehabilitation</u>, 24(13): 680-687, 2002.

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- 3. McDonald JW, Silverstein FS and Johnston MV. Comparison of neuroprotective effects of competitive and non-competitive NMDA antagonists against NMDA mediated neurotoxicity in an in vivo perinatal rat model. In Recent Advances in Excitatory Amino Acid Research. E.A. Cavalheiro, J. Lehmann, L. Turski (Eds.), Neurology and Neurobiology, Vol. 46, Alan R. Liss, New York, pp. 601-604, 1988.
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- 3. Johnston MV, Silverstein FS, Barks J, **McDonald JW**, Young AB, Penney J and Greenamyre JT. Reorganizing glutamate pathways in the developing brain may provide a substrate for hypoxic-ischemic neuronal injury. <u>Sigma opioid-PCP like compounds as molecular probes in biology</u>. July, 1987.
- 4. **McDonald JW**, Silverstein FS, and Johnston, MV. The glutamate antagonist MK-801 attenuates perinatal hypoxic-ischemic brain injury. <u>Child Neurology Society</u>, October, 1987. <u>Ann. Neurol.</u> 22:407, 1987.
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- 14. **McDonald JW**. Neuroprotective effects of NMDA receptor antagonists in two models of brain injury. Cambridge Neuroscience, April 1988, lecture.
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- 16. **McDonald JW**, Young AB and Johnston MV. The role of the NMDA receptor/channel complex in neuronal injury. <u>Program and Proceedings of MSTP Scientific Retreat</u>, 42-46, 1988.
- 17. **McDonald JW**, Garofalo EA, Hood T, Sackellares C and Johnston MV. Distribution of excitatory amino acid and GABA receptor binding in hippocampus of patients with epilepsy. <u>American Academy of Neurology Abst.</u> 1989, in press.
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PATENTS

U.S. Patent Application No. 09/693,619 filed October 21, 2000 **OLIGODENDROCTYE CELL CULTURES AND METHODS FOR THEIR**

PREPARATION AND USE

Our Ref.: WSHU-0015 Your Ref.: CKI-0215